### IFT 6113 CLUSTERING AND SEGMENTATION

tiny.cc/6113



Semi-supervised Mesh Segmentation and Labeling by Lv et al., 2012

### Mikhail Bessmeltsev

### **Task**: Break a shape into meaningful pieces.



https://doc.cgal.org/latest/Surface\_mesh\_segmentation/index.html

### Many Applications







https://en.wikipedia.org/wiki/K-means\_clustering http://liris.cnrs.fr/christian.wolf/graphics/anr-madras.png http://people.cs.umass.edu/~kalo/papers/LabelMeshes/

### Task:

### Break a shape into meaningful pieces.



#### https://doc.cgal.org/latest/Surface\_mesh\_segmentation/index.html

## What's meaningful?

- Semantically?
- Flat/Developable?
  - Convex?
  - Voronoi-like?



http://www.cs.rug.nl/svcg/Shapes/PDE

## What's meaningful?

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**Original Mesh** 

**Exact Convex** Decomposition (7611 parts)

**Approximate Convex** Decomposition (20 parts)





https://github.com/kmammou/v-hacd



Recall: **Related: zippables** 

### Shape Representation by Zippables

CHRISTIAN SCHÜLLER, ROI PORANNE, and OLGA SORKINE-HORNUNG, ETH Zurich, Switzerland



Fig. 1. The pipeline of our approach. Starting from a 3D model, the user decomposes the shape into topological cylinders. Our algorithm automatically produces a single continuous curve on the shape that spirals along the cylinders. It proceeds to cut the shape along the curve and creates a developable surface that can be trivially unfolded into a single 2D shape – the so called *zippable*. Based on the flattening, plans for laser cutting it from fabric are generated. Finally, we attach a zipper with a single slider to the boundary of the zippable. Zipping it up reproduces a faithful approximation of the input model.

### Semantic

Modeling new chairs using parts from old ones



Learning 3D Mesh Segmentation and Labeling by Evangelos Kalogerakis et al., ACM TOG 2010

### k-means clustering



https://upload.wikimedia.org/wikipedia/commons/d/d2/K\_Means\_Example\_Step\_4.svg

$$\min_{S,\mu} \sum_{i=1}^{k} \sum_{x \in S_i} \|x - \mu_i\|^2$$

### k-means clustering



https://upload.wikimedia.org/wikipedia/commons/d/d2/K\_Means\_Example\_Step\_4.

$$\min_{S,\mu} \sum_{i=1}^{k} \sum_{x \in S_i} \|x - \mu_i\|^2$$

**NP**-hard for variable *k* is a even on a plane

 $O(|S_i|^{d(k+1)})$ , if k is fixed

### Lloyd iterations 'the' k-means algorithms

$$\min_{S,\mu} \sum_{i=1}^{k} \sum_{x \in S_i} \|x - \mu_i\|^2$$

- Initialization?
- Assignment step (S)  $S_i \leftarrow \{x : \|x - \mu_i\| \le \|x - \mu_j\| \forall j\}$ 
  - Update step (μ)

$$\mu_i \leftarrow \frac{1}{|S_i|} \sum_{x \in S_i} x$$

### Recall:

### Voronoi Diagrams



Georgy Voronoi Георгий Феодосьевич Вороной 1868-1908



### Example





### Lloyd Iterations for segmentation

Initialization: select random triangles = seeds

- 1. Grow charts around seeds greedily
- 2. Find new seed for each chart
  - E.g. centroid
- 3. Repeat



### Application to Color Space







K=10



Original





4%



8%

17%



http://cs.nyu.edu/~dsontag/courses/ml12/slides/lecture14.pdf

### Dependence on Initial Guess

• Initialize K segment seeds, iterate:

- Assign faces to closest seed
- Move seed to cluster center
- Randomization: random initial seeds

"Randomized Cuts for 3D Mesh Analysis." Golovinskiy and Funkhouser; SIGGRAPH Asia 2008

**Bug ... or feature?** 

### Dependence on Initial Guess



"Randomized Cuts for 3D Mesh Analysis." Golovinskiy and Funkhouser; SIGGRAPH Asia 2008

**Bug ... or feature?** 

Aside: Issue: Choice of k

J. R. Statist. Soc. B (2001) 63, Part 2, pp. 411-423

### Estimating the number of clusters in a data set via the gap statistic

Robert Tibshirani, Guenther Walther and Trevor Hastie

Stanford University, USA

[Received February 2000. Final revision November 2000]

**Summary.** We propose a method (the 'gap statistic') for estimating the number of clusters (groups) in a set of data. The technique uses the output of any clustering algorithm (e.g. *K*-means or hierarchical), comparing the change in within-cluster dispersion with that expected under an appropriate reference null distribution. Some theory is developed for the proposal and a simulation study shows that the gap statistic usually outperforms other methods that have been proposed in the literature.

Keywords: Clustering; Groups; Hierarchy; K-means; Uniform distribution

#### 1. Introduction

Cluster analysis is an important tool for 'unsupervised' learning — the problem of finding groups in data without the help of a response variable. A major challenge in cluster analysis is the estimation of the optimal number of 'clusters'. Fig. 1(b) shows a typical plot of an error measure  $W_k$  (the within-cluster dispersion defined below) for a clustering procedure versus the number of clusters k employed: the error measure  $W_k$  decreases monotonically as the number of clusters k increases, but from some k onwards the decrease flattens markedly. Statistical folklore has it that the location of such an 'elbow' indicates the appropriate number of clusters. The goal of this paper is to provide a statistical procedure to formalize that heuristic.

For recent studies of the elbow phenomenon, see Sugar (1998) and Sugar *et al.* (1999). A comprehensive survey of methods for estimating the number of clusters is given in Milligan and Cooper (1985), whereas Gordon (1999) discusses the best performers. Some of these methods are described in Sections 5 and 6, where they are compared with our method.

In this paper we propose the 'gap' method for estimating the number of clusters. It is designed to be applicable to virtually any clustering method. For simplicity, the theoretical part of our analysis will focus on the widely used *K*-means clustering procedure.

#### 2. The gap statistic

Our data  $\{x_{ij}\}, i = 1, 2, ..., n, j = 1, 2, ..., p$ , consist of p features measured on n independent observations. Let  $d_{ii'}$  denote the distance between observations i and i'. The most common choice for d is the squared Euclidean distance  $\sum_{i=1}^{n} (x_i - x_i)^2$ 

### "Gap statistic"

### On a surface?

k

 $\min_{S,\mu} \sum_{i=1}^{N} \sum_{x \in S_i} \|x - \mu_i\|^2$ 



https://upload.wikimedia.org/wikipedia/commons/d/d2/K\_Means\_Example\_Step\_4.svg





 $GPS(p) := \left(-\frac{1}{\sqrt{\lambda_1}}\phi_1(p), -\frac{1}{\sqrt{\lambda_2}}\phi_2(p), -\frac{1}{\sqrt{\lambda_3}}\phi_3(p), \cdots\right)$ 

"Laplace-Beltrami Eigenfunctions for Deformation Invariant Shape Representation" Rustamov, SGP 2007

### Can Apply to Features



"Laplace-Beltrami Eigenfunctions for Deformation Invariant Shape Representation." Rustamov; SGP 2007

### Geometry of k-Means

- Assignment step

   Assign point to its closest cluster center
- Update step

   Average all points in a cluster

### Doesn't have to be Euclidean

### Geometry of k-Means

 Assignment step – Assign point to its closest cluster

center

Update step ??
 –Average all points in a cluster

## In a metric space

### Fréchet Mean



On the board: Generalizes Euclidean notation of "mean."

### Extension to Regions on a Surface

Alternate between 1. Fitting primitive parameters 2. Assign points to patches



"Variational Shape Approximation." Cohen-Steiner, Alliez, and Desbrun; SIGGRAPH 2004

### k-Medioids

- Assignment step

   Assign point to its closest cluster center
- Update step

 Replace cluster center with most central data point

### When Fréchet means won't work

### **Related Technique**

#### Region Growing Algorithm

Initialize a priority queue Q of elements Loop until all elements are clustered Choose a seed element and insert to QCreate a cluster C from seed Loop until Q is empty Get the next element s from QIf s can be clustered into CCluster s into CInsert s neighbors to QMerge small clusters into neighboring ones

"Segmentation and Shape Extraction of 3D Boundary Meshes." Shamir; EG STAR 2006.

# **Region growing algorithm**

### Example Task



https://ps.is.tuebingen.mpg.de/research\_projects/3d-mesh-registration

# **Clustering shapes?**



### Gromov-Hausdorff Clustering

Eurographics Symposium on Point-Based Graphics (2007) M. Botsch, R. Pajarola (Editors)

#### On the use of Gromov-Hausdorff Distances for Shape Comparison

Facundo Mémoli<sup>1†</sup>

<sup>1</sup>Department of Mathematics, Stanford University, California, USA.

#### Abstract

It is the purpose of this paper to propose and discuss certain modifications of the ideas conc Hausdorff distances in order to tackle the problems of shape matching and comparison. These render these distances more amenable to practical computations without sacrificing theoretical u second goal of this paper is to establish links to several other practical methods proposed in a comparing/matching shapes in precise terms. Connections with the Quadratic Assignment Pro also established, and computational examples are presented.

Categories and Subject Descriptors (according to ACM CCS): I.3.5 [Computer Graphics]: Computa and Object Modelling.

#### 1. Introduction

Given the great advances in recent years in the fields of shape acquisition and modelling, and the resulting huge collections of digital models that have been obtained it is of great importance to be able to define and compute meaningful notions of similarity between shapes which exhibit invariance to different deformations and or poses of the objects represented structure, that is, shapes are viewed notion of distance compares the full r tained in the shapes, as opposed to only compare simple (incomplete) in shapes will be declared *equal* if and *ric*. This means that the invariance p

coded by the metrics one chooses to endow the shapes with.



### Agglomerative Clustering



https://upload.wikimedia.org/wikipedia/commons/a/ad/Hierarchical\_clustering\_simple\_diagram.svg

# Merge from the bottom up

### Agglomerative Clustering in Geometry



"Hierarchical mesh segmentation based on fitting primitives." Attene, Falcidieno, and Spagnuolo; The Visual Computer 2006

# Fit a primitive and measure

### **Typical Features**



Figure 4: Example of mesh attributes used for partitioning. Left: minimum curvature, middel: average geodesic distance, right: shape diameter function.

#### "Segmentation and Shape Extraction of 3D Boundary Meshes." Shamir; EG STAR 2006.

### Additional Desirable Properties

- Cardinality
  - Not too small and not too large or a given number (of segment or elements)
  - Overall balanced partition
- Geometry
  - Size: area, diameter, radius
  - Convexity, Roundness
  - Boundary smoothness
- Topology
  - Connectivity (single component)
  - Disk topology
  - a given number (of segment or elements)

"Segmentation and Shape Extraction of 3D Boundary Meshes." Shamir; EG STAR 2006. via Q. Huang, Stanford CS 468, 2012



### Issue So Far

# No notion of optimality.

No use of local relationships.

### Spectral Clustering

http://cs.nyu.edu/~dsontag/courses/ml13/slides/lecture16.pdf



### Rough notion of optimality

Assembles local relationships

### Normalized Cuts for Two Cuts

Symmetric similarity matrix W

Cut score 
$$C(A, B) := \sum_{\substack{i \in A \\ j \in B}} w_{ij}$$

Volume 
$$V(A) := \sum_{i \in A} \sum_j w_{ij}$$

Normalized cut score  $N(A,B) := C(A,B)(V(A)^{-1} + V(B)^{-1})$ 

"Normalized Cuts and Image Segmentation." Shi and Malik; PAMI 2000

### Normalized Cuts

$$x_i := \begin{cases} V(A)^{-1} & \text{if } i \in A \\ -V(B)^{-1} & \text{if } i \in B \end{cases}$$

On the board:

$$x^{\top}Lx = \sum_{\substack{i \in A \\ j \in B}} w_{ij}(V(A)^{-1} + V(B)^{-1})^2$$
$$x^{\top}Dx = V(A)^{-1} + V(B)^{-1}$$
$$N(A, B) = \frac{x^{\top}Lx}{x^{\top}Dx}$$
$$x^{\top}D\mathbf{1} = 0$$

### Eigenvalue Problem

$$\min_{x} \frac{x^{\top} L x}{x^{\top} D x}$$
  
s.t.  $x^{\top} D \mathbf{1} = 0$ 

### On the board:

- Relaxation of normalized cuts
  - Eigenvalue problem

### Example on kNN Graph



http://cs.nyu.edu/~dsontag/courses/ml13/slides/lecture16.pdf

### For $\geq 2$ Clusters

- Recursive bi-partitioning (Hagen et al. 1991)
  - Analogy: Agglomerative clustering
  - Potentially slow/unstable
- Cluster multiple eigenvectors
  - Analogy: k-means after dimension reduction
  - More popular appraoch

http://cs.nyu.edu/~dsontag/courses/ml13/slides/lecture16.pdf

Recall:

 $Lx = \lambda x$ 

### Second-Smallest Eigenvector



Used for graph partitioning

### Fiedler vector ("algebraic connectivity")

### Back to the Laplacian

Figure 12: Derived segmentations



analysis and segmentation able to capture a varied set of content in many emerging web-based applications. A semantic

Nodal domain A connected region where a Laplacian eigenfunction has constant sign

### Courant's Theorem

# The k-th Laplacian eigenfunction has at most k nodal domains.



https://i.stack.imgur.com/JJIFP.png





Image courtesy Q. Huang



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http://www.cs.rug.nl/svcg/Shapes/PDE

### **Obvious Counterexample**

http://www.erflow.eu/brain-segmentation-science-case



# Shape provides only <u>a clue</u>

### Supervised Learning



"Learning 3D Mesh Segmentation and Labeling." Kalogerakis, Hertzmann, and Singh; SIGGRAPH 2010

# Use example data to help

### Conditional Random Field



$$c^* := \arg\min_{c} \left[ \sum_{i} \alpha_i E_1(c_i; x_i) + \sum_{ij} \ell_{ij} E_2(c_i, c_j; y_{ij}) \right]$$
Unary
descriptor term
Binary label
compatibility term

### Before Someone Asks

#### **3D Shape Segmentation with Projective Convolutional Networks**

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#### Abstract

This paper introduces a deep architecture for segmenting 3D objects into their labeled semantic parts. Our architecture combines image-based Fully Convolutional Networks (FCNs) and surface-based Conditional Random Fields (CRFs) to yield coherent segmentations of 3D shapes. The image-based FCNs are used for efficient view-based reasoning about 3D object parts. Through a special projection layer, FCN outputs are effectively aggregated across multiple views and scales, then are projected onto the 3D object surfaces. Finally, a surface-based CRF combines the projected outputs with geometric consistency cues to yield coherent segmentations. The whole architecture (multi-view FCNs and CRF) is trained end-to-end. Our approach significantly outperforms the existing stateof-the-art methods in the currently largest segmentation benchmark (ShapeNet). Finally, we demonstrate promising segmentation results on noisy 3D shapes acquired from consumer-grade depth cameras.

#### 1. Introduction

In recent years there has been an explosion of 3D shape data on the web. In addition to the increasing number of community-curated CAD models, depth sensors deployed on a wide range of platforms are able to acquire 3D geometric representations of objects in the form of polygon The shape segmentation task, while fundamental, is challenging because of the variety and ambiguity of shape parts that must be assigned the same semantic label; because accurately detecting boundaries between parts can involve extremely subtle cues; because local and global features must be jointly examined; and because the analysis must be robust to noise and undersampling.

We propose a deep architecture for segmenting and labeling 3D shapes that simply and effectively addresses these challenges, and significantly outperforms prior methods. The key insights of our technique are to repurpose imagebased deep networks for view-based reasoning, and aggregate their outputs onto the surface representation of the shape in a geometrically consistent manner. We make no geometric or topological assumptions about the shape, nor exploit any hand-tuned geometric descriptors.

Our view-based approach is motivated by the success of deep networks on image segmentation tasks. Using rendered shapes lets us initialize our network with layers that have been trained on large image datasets, allowing better generalization. Since images depict shapes of photographed objects (along with texture), we expect such pre-trained law-

objects (along with texture), we expect such pre-trained erers to already encode some information about parts an the PPR 2017 relationships. Recent work on view-based 3D shape classsification [43, 35] and RGB-D recognition [13, 42] have shown the henafite of transforming learned parts and the parts of transforming learned parts of

